Docket No.: CTCH-P01-016
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Baltimore et al.

Application No.: 10/656531 Confirmation No.:

Filed: September 5, 2003 Art Unit: N/A

For: USE OF CHIMERIC NUCLEASES TO STIMULATE GENE TARGETING Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of each reference on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. CTCH-P01-016. A duplicate copy of this paper is enclosed.

Dated: Feb. 13, 2004

Respectfully submitted,

By _____

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 3

Complete if Known	
Application Number	10/656531
Filing Date	September 5, 2003
First Named Inventor	David Baltimore
Art Unit	N/A
Examiner Name	Not Yet Assigned
Attorney Docket Number	CTCH-P01-016

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number: Number-Kind Code[2] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-4,665,184	05-12-1987	Dervan, P. et al.	
	AB	US-4,795,700	06-03-1989	Dervan, P. et al.	
	AC	US-4,942,227	07-17-1990	Dervan, P. et al.	
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	AF	US-5,487,994	01-30-1996	Chandrasegaran, S.	
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	AL	US-6,140,466	10-31-2000	Barbas, C. et al.	
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	AN	US-6,265,196-B1	07-24-2001	Chandrasegaran, S.	
	AO	US-6,453,242-B1	09-17-2002	Eisenberg, S. et. al.	
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	AQ	US-6,534,261-B1	03-18-2003	Cox, G. N. et al.	
	AR	US-2002/0107214-A1	08-08-2002	Choulika, A. et al.	
	AS	US-2002/0110898-A1	08-15-2002	Choulika, A. et al.	
	AT	US-2003/0232410-A1	12-18-2003	Liljedahl, M. et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document: Country Code[3]-Number[4]-Kind Code[5] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]
	BA	WO-98/53058	11-26-1998	Medical Research Council		
	BB	WO-98/53059	11-26-1998	Medical Research Council		
	BC	WO-98/53060	11-26-1998	Medical Research Council		
	BD	WO-00/46385	08-10-2000	The Children's Medical Center Corporation		
	BE	WO-00/46386	08-10-2000	The Children's Medical Center Corporation		
	BF	WO-03/080809	10-02-2003	Stell		
	BG	WO-03/087341	10-23-2003	The University of Utah Research Foundation		

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]

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	CA	Bibikova, M. et al. Stimulation of Homologous Recombination through Targeted Cleavage by Chimeric Nucleases. Molecular and Cellular Biology 21:1, 289-97 (2001)	
	CB	Bibikova, M. et al. Targeted Chromosomal Cleavage and Mutagenesis in Drosophila Using Zinc-Finger Nucleases. Genetics 161:1169-75 (2002)	
	CC	Bitinaite, J. et al. FokI dimerization is required for DNA cleavage. Proc. Natl. Acad. Sci. 95:10570-75 (1998)	
	CD	Brenneman, M. et al. Stimulation of intrachromosomal homologous recombination in human cells by electroporation with site-specific endonucleases. Proc.Natl. Acad. Sci. USA 93, 3608-12 (1996)	
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	CI	Desjarlais, J.R. et al. Toward rules relating zinc finger protein sequences and DNA binding site preferences. Proc. Natl. Acad. Sci. USA 89, 7345-49 (1992)	
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	CM	Elrod-Erickson et al. Binding Studies with Mutants of Zif268. J. of Biol. Chem. 274:27, 19281-85 (1999)	
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	CP	Hicks, G. et al. Three Classes of Nuclear Import Signals Bind to Plant Nuclei. Plant Physiol. 107:1055-58 (1995)	
	CQ	Huang, B. et al. Splase: A New Class IIS Zinc-Finger Restriction Endonuclease with Specificity for Sp1 Binding Sites. Journal of Protein Chemistry. 15:5, 481-89 (1996)	
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	CV	Kim, Y. et al. Insertion and Deletion Mutantsd of KokI Restriction Endonuclease. Journal of Biological Chemistry 269:50, 31978-82 (1994)	
	CW	Li, L. et al. Alteration of the cleavage distance of Fok I restriction endonuclease by insertion mutagenesis. Proc. Natl. Acad. Sci. 90, 2764-68 (1993)	
	CX	Li, L. et al. Functional domains in Fok I restriction endonuclease. Proc. Natl. Acad. Sci. 89, 4275-79 (1992)	
	CY	Liu, Q. et al. Validated Zinc Finger Protein Designs for All 16 GNN DNA Triplet Targets. J.	

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		Biol. Chem. 277:6, 3850-56 (2002)	
	CZ	Mattaj, I. et al. Nucleocytoplasmic Transport: The Soluble Phase. Annu. Rev. Biochem. 67:265-306 (1998).	
	CA1	Nahon, E. et al. Targeting a truncated Ho-endonuclease of yeast to novel DNA sites with foreign zinc fingers. Nucleic Acids Research, 26:5, 1233-39 (1998)	
	CB1	Porteus, M. et al. Chimeric Nucleases Stimulate Gene Targeting in Human Cells. Science 300:763 (2003)	
	CC1	Rebar, E. et al. Zinc Finger Phage: Affinity Selection of Fingers with New DNA-Binding Specificities. Science. 263, 671-73 (1994)	
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	CE1	Rouet, P. et al. Introduction of Double-Strand Breaks into the Genome of Mouse Cells by Expression of a Rare-Cutting Endonuclease. Molecular and Cellular Biology, 14:12, 8096-106. (1994)	
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	CI1	Sera, T. et al. Rational Design of Artificial Zinc-Finger Proteins Using a Nondegenerate Recognition Code Table. Biochemistry. 41, 7074-81 (2002)	
	CJ1	Shi, Y. et al. Specific DNA-RNA Hybrid Binding by Zinc Finger Proteins. Science. 268, 282-84 (1995)	
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